

Park Place Capital Corporation
Form CRS Relationship Summary
September 27, 2024

Introduction

Park Place Capital Corporation is registered with the U.S. Securities and Exchange Commission (SEC) as an investment adviser. Fees for brokerage and investment advisory services differ among broker-dealers and investment advisers and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at www.Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We offer investment advisory services to retail investors. Our services offered include investment asset management and financial planning services. We will provide ongoing advice on a regular basis through our asset management services, which include services for a fee that includes custodial fees and subadvisor fees, if any (wrap accounts) and services for a fee that do not cover such third party fees (non-wrap accounts). We will discuss your investment goals, design an investment strategy for you, and regularly monitor your account at least once [monthly / per quarter / every six months] as part of our standard services. You can choose an account that allows us to buy and sell investments in your account without asking you in advance (discretionary services) or we may give you advice and you decide what investments to buy and sell (nondiscretionary services). Other services such as consulting or financial planning are targeted to a specific circumstance as detailed in your agreement with us, and may not include regular monitoring of your investments.

Our investment advice will cover a broad range of investments which may include stocks, bonds, mutual funds and exchange traded funds among others. We may recommend that you invest in one or more mutual funds related to us, but our advice is not limited to recommendations concerning these related funds. Other firms may provide advice on a wider range of choices, some of which might have lower costs.

For additional information, please see our Form ADV, Part 2A brochure (Items 4 and 7) for non-wrap accounts or Part 2A Appendix 1 (Items 4 and 5) for wrap accounts.

Conversation Starters. Ask your financial professional "*Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*"

Summary of fees, costs, conflicts of interest, and standard of conduct

What fees will I pay?

Our fees vary and are negotiable. The amount you pay will depend, for example, on the services you receive and the amount of assets in your account. The maximum annual fee charged to your account(s) for asset management will not exceed 1.35% and will be billed quarterly or monthly in advance or arrears. For some services we have flat fees. We generally do not have minimum account size and/or fees except for legacy accounts.

Our wrap fee allows clients to pay a single fee for investment advisory services and associated custodial transaction costs, as well as subadvisor fees, if any. For non-wrap accounts, custodial transaction costs and other third party fees and expenses are not included in the advisory fee charged by us. Depending on the trading activity within your account, you may pay more or less for a non-wrap account than you would for a wrap account. The more assets you have in your advisory account, including cash, the more you will pay us. We therefore have an incentive to increase the assets in your account in order to increase our fees. You pay our fee even if you do not buy or sell in your account. In addition to our advisory fees, clients might also pay holdings charges imposed by the chosen custodian for certain investments, charges imposed directly by a mutual fund, index fund, or exchange traded fund, which shall be disclosed in the fund's prospectus. Financial planning and consulting services may charge a flat fee or ongoing fee.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see our Form ADV, Part 2A brochure (Item 5) for non-wrap accounts and Form ADV, Appendix 1 (Item 4) for wrap accounts.

	<p>Conversation Starter. Ask your financial professional “<i>Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</i>”</p>
<p>What are your obligations to me when acting as my investment adviser?</p> <p>How else does your firm make money and what conflicts of interest do you have?</p>	<p>When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they affect the recommendations we provide you. Here are some examples to help you understand what this means. These examples illustrate ways we make money from investment advisory services provided to retail investors.</p> <ul style="list-style-type: none"> • Affiliated Mutual Funds: We are the sponsoring adviser to the Monteagle Funds. We receive operating fees and/or management fees for each Monteagle Fund. Our employees may own shares in the Monteagle Funds, but do not receive any incentive or additional compensation to invest. We have an economic incentive to recommend and invest client account assets in the Monteagle Funds, and therefore, there is a conflict of interest. We address this conflict by waiving our management fee for the Monteagle Funds (but not the operating fees or any management fees where the portfolio is sub-advised by unaffiliated money managers). We also mitigate the conflict of interest by considering the overall fees and expenses of the Monteagle Funds compared to the fees and expenses of other mutual fund investments with similar objectives, strategies, and performance in making recommendations for investments in the client accounts. Nevertheless, clients may pay more mutual fund fees when investing in the Monteagle Funds compared to other mutual funds. • Referral fees: We receive client referrals from affiliates or other third parties to whom we pay referral fees. This is a conflict of interest because this gives people referring clients to us an incentive to refer clients that they might not otherwise refer to us. • Representatives associated with broker-dealer: Some of our employees are also associated with an affiliated broker-dealer, Park Place Capital Securities Corp. (“PPCSC”), as registered representatives, and offer broker-dealer services through PPCSC. This dual role may create a conflict of interest because these individuals have an economic incentive to recommend investment services to clients that provide the greatest compensation to these individuals. We mitigate this risk by disclosing to clients that they are not required to utilize the brokerage services of PPCSC. Both PPCSC and the Firm conduct periodic suitability reviews of their respective accounts to monitor for inappropriate securities transactions based upon the client suitability information provided by the client. <p>For additional information, please see our Form ADV, Part 2A brochure (Items 10 and 14) for non-wrap accounts and Part 2A appendix 1 (Items 4,6, and 9) for wrap accounts.</p> <p>Conversation Starter. Ask your financial professional “<i>How might your conflicts of interest affect me, and how will you address them?</i>”</p>
<p>How do your financial professionals make money?</p>	<p>Our financial advisors are compensated through advisory fees, financial planning/consulting fees.</p>
<p>Do your financial professionals have legal or disciplinary history?</p>	
<p>No. Please visit www.Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.</p>	
<p>Conversation Starter. Ask your financial professional “<i>As a financial professional, do you have any disciplinary history? For what type of conduct?</i>”</p>	
<p>Where can I find additional information?</p>	
<p>For additional information about our services, please review our Form ADV Part 2A for non-wrap accounts, ADV Part 2A, Appendix I for wrap accounts and the financial professionals ADV Part 2B. If you would like additional, up-to-date information or a copy of this disclosure, please call us at 800-459-9084 or request via our website at www.parkplacecapital.com.</p>	
<p>Conversation Starter. Ask your financial professional “<i>Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?</i>”</p>	